

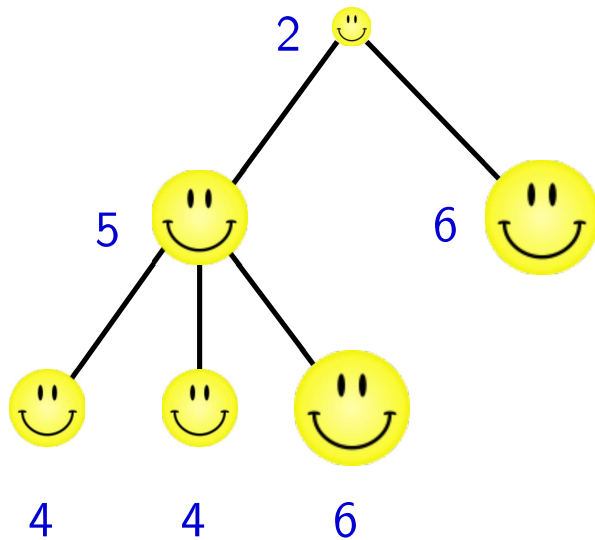
# Treewidth

- Treewidth: a notion of “treelike” graphs.
- Some combinatorial properties.
- Algorithmic results.
  - Algorithms on graphs of bounded treewidth.
  - Applications for other problems.

# The Party Problem

## PARTY PROBLEM

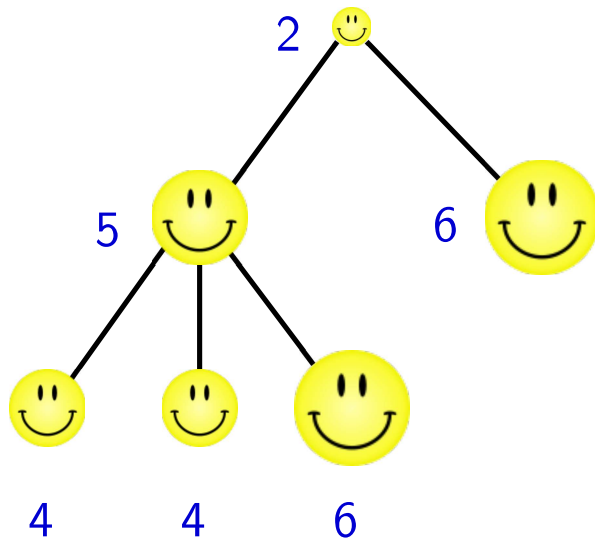
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**Maximize:** The total fun factor of the invited people.  
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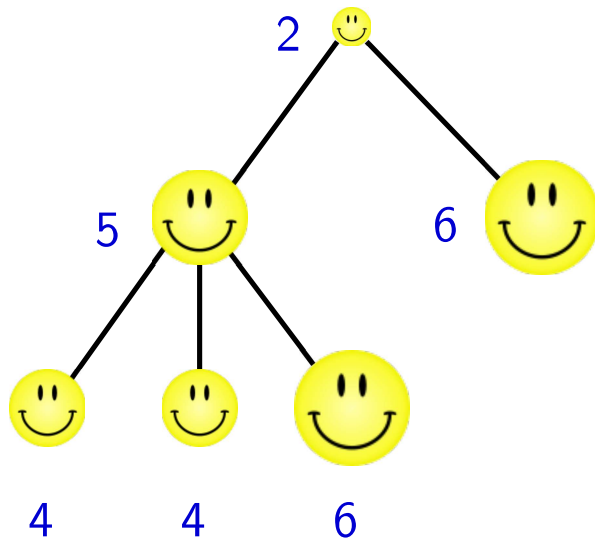
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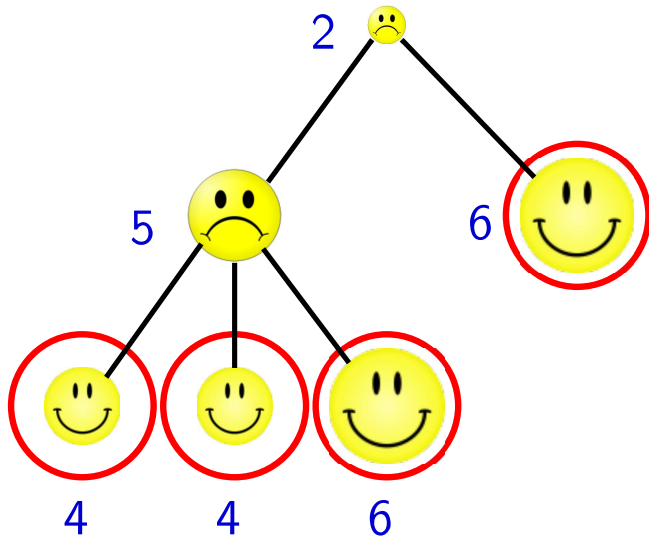


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## Solving the Party Problem

### Dynamic programming paradigm:

We solve a large number of subproblems that depend on each other. The answer is a single subproblem.

### Subproblems:

- $T_v$ : the subtree rooted at  $v$ .
- $A[v]$ : max. weight of an independent set in  $T_v$
- $B[v]$ : max. weight of an independent set in  $T_v$   
that does not contain  $v$

**Goal:** determine  $A[r]$  for the root  $r$ .

## Solving the Party Problem

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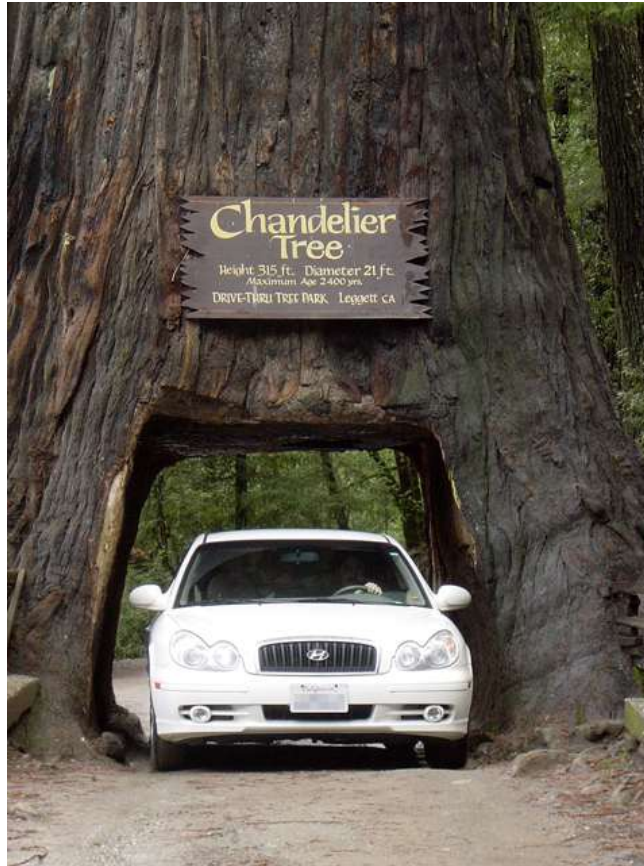
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### Recurrence:

Assume  $v_1, \dots, v_k$  are the children of  $v$ . Use the recurrence relations

$$\begin{aligned} B[v] &= \sum_{i=1}^k A[v_i] \\ A[v] &= \max\{B[v], w(v) + \sum_{i=1}^k B[v_i]\} \end{aligned}$$

The values  $A[v]$  and  $B[v]$  can be calculated in a bottom-up order (the leaves are trivial).



Treewidth



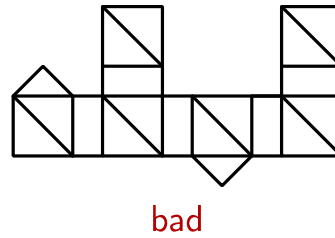
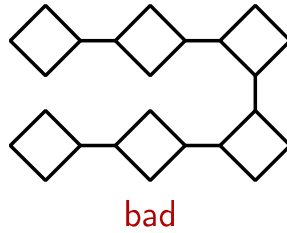
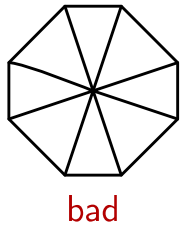
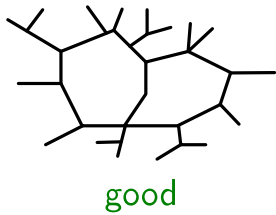
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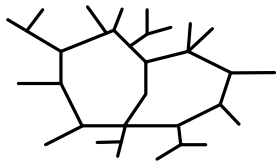
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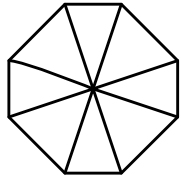
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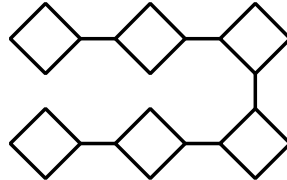
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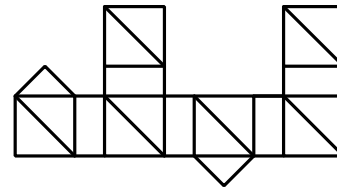
good



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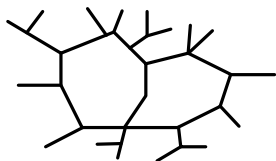


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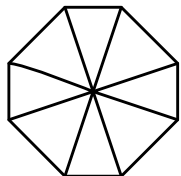


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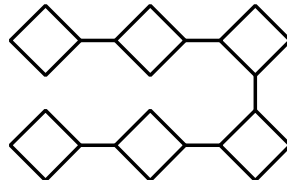
- ② Removing a bounded number of vertices makes it acyclic.



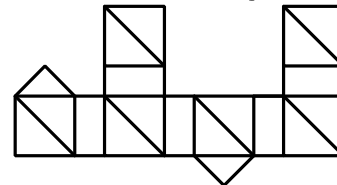
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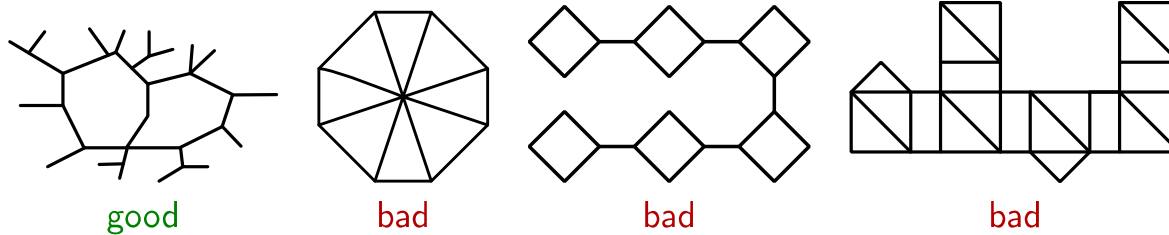


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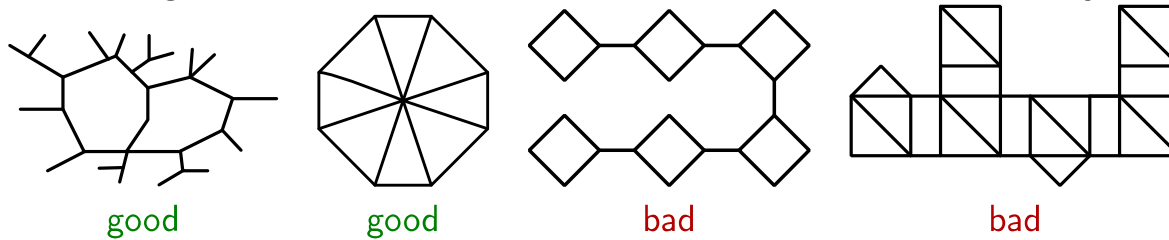
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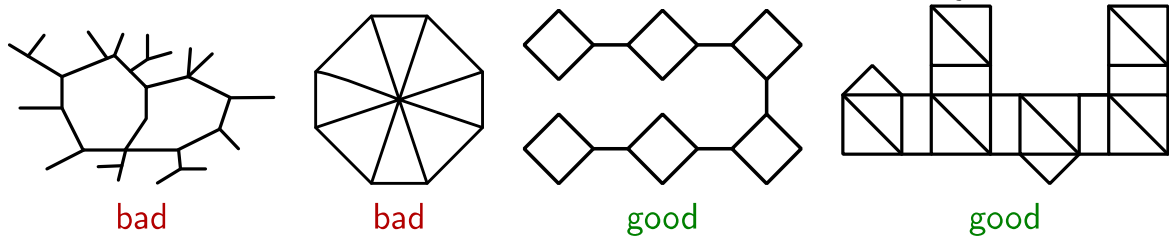
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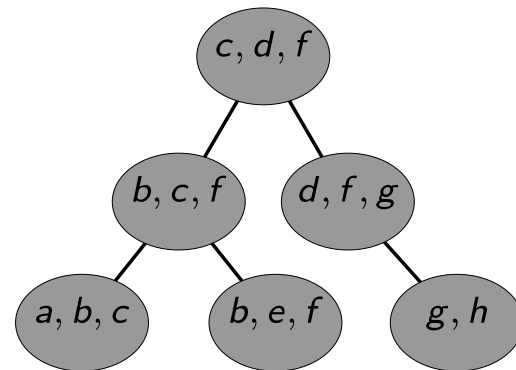
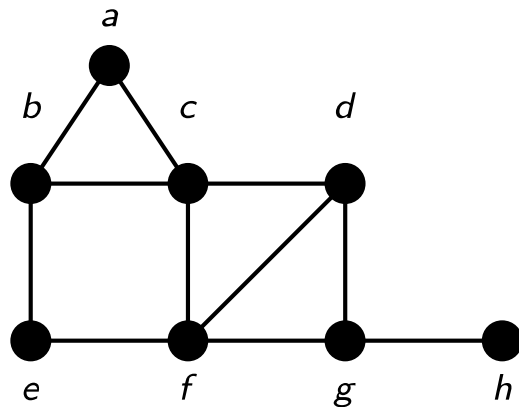
- ③ Bounded-size parts connected in a tree-like way.



## Treewidth — a measure of “tree-likeness”

**Tree decomposition:** Vertices are arranged in a tree structure satisfying the following properties:

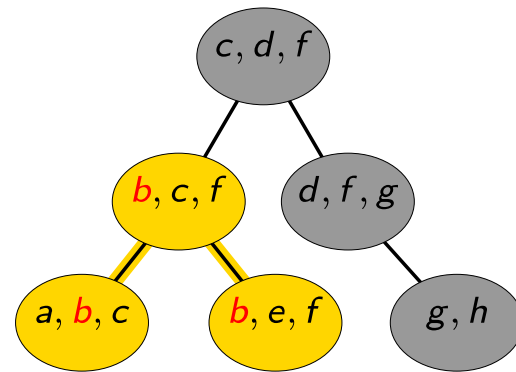
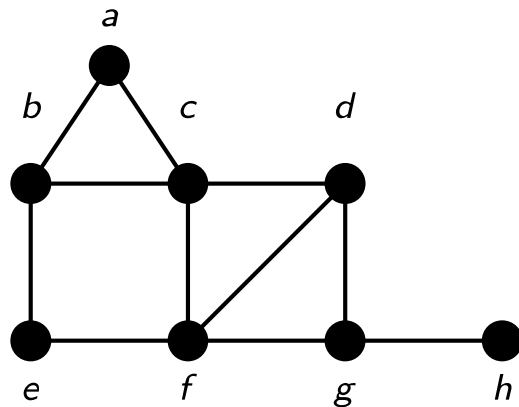
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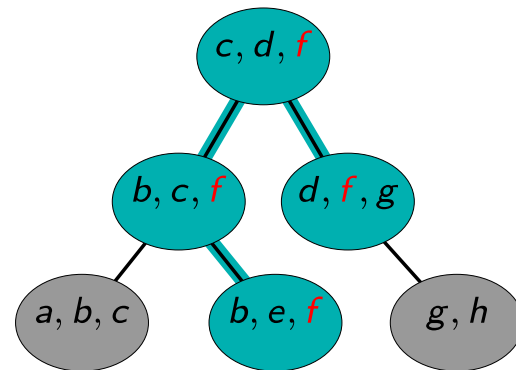
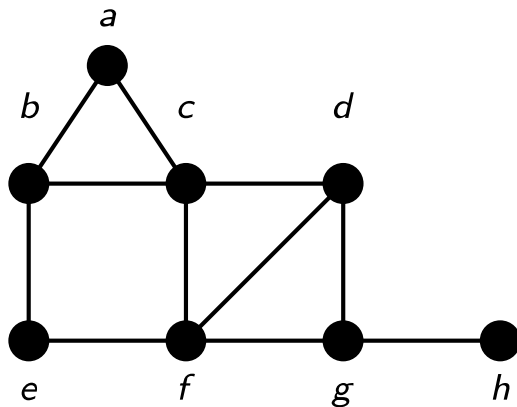
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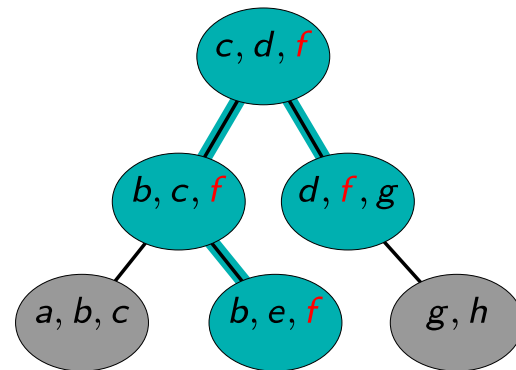
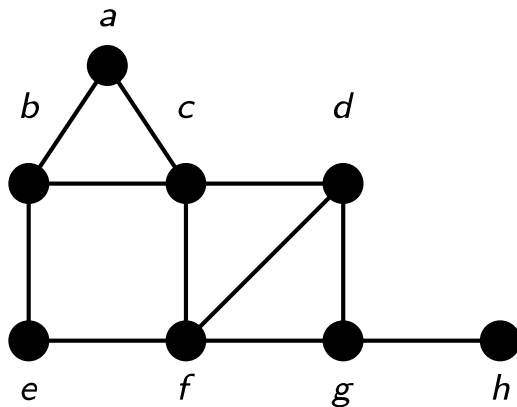
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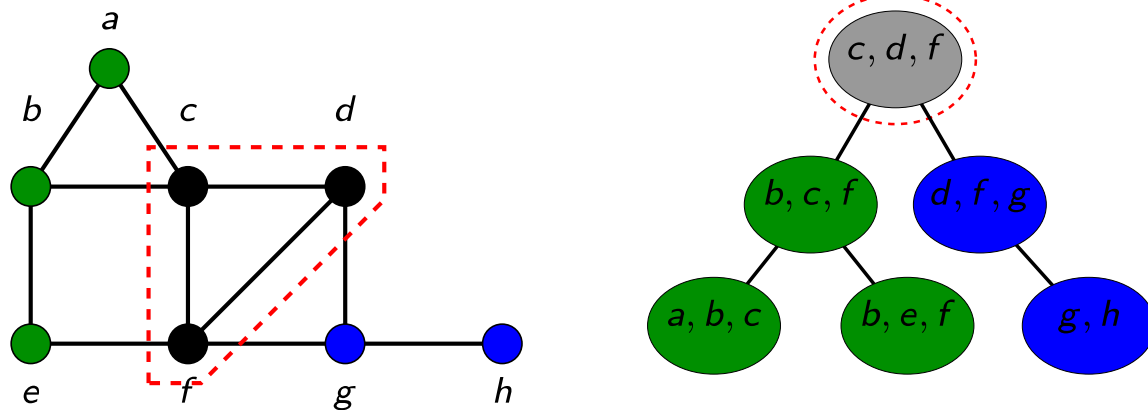
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Each bag is a separator.

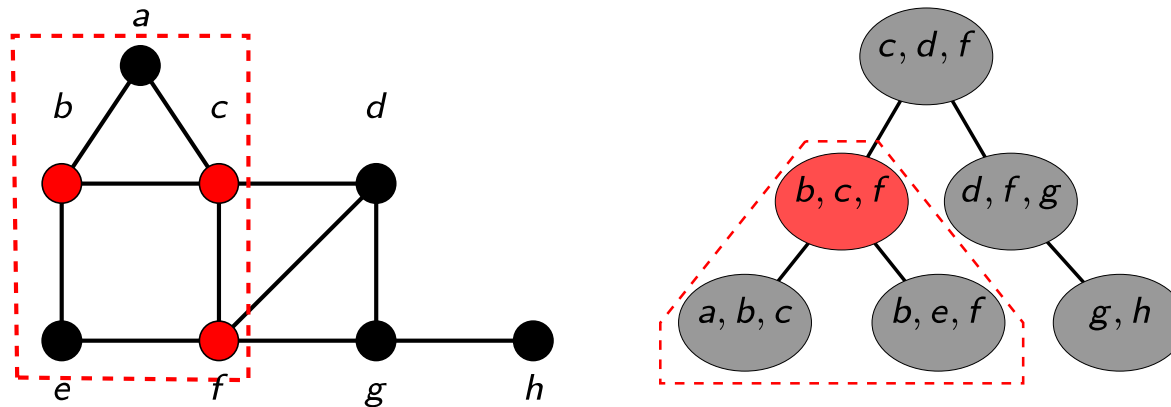
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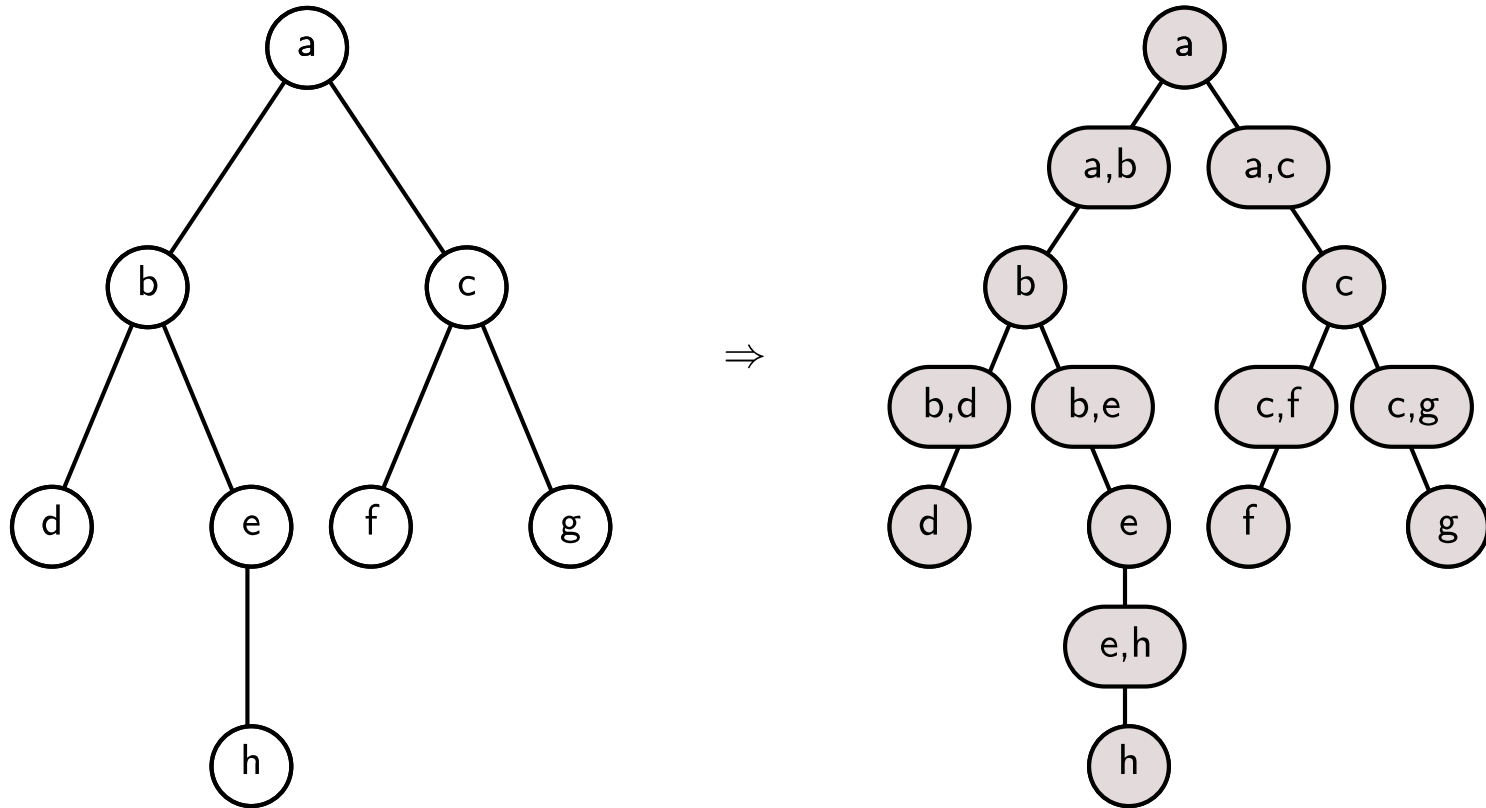
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A subtree communicates with the outside world  
only via the root of the subtree.

## Treewidth

**Fact:**  $\text{treewidth} = 1 \iff \text{graph is a forest}$



**Exercise:** A cycle cannot have a tree decomposition of width 1.

# Treewidth — outline

- ① Basic algorithms
- ② Combinatorial properties
- ③ Applications

## Finding tree decompositions

### Hardness:

Theorem [Arnborg, Corneil, Proskurowski 1987]

It is NP-hard to determine the treewidth of a graph (given a graph  $G$  and an integer  $w$ , decide if the treewidth of  $G$  is at most  $w$ ).

### Fixed-parameter tractability:

Theorem [Bodlaender 1996]

There is a  $2^{O(w^3)} \cdot n$  time algorithm that finds a tree decomposition of width  $w$  (if exists).

### Consequence:

If we want an FPT algorithm parameterized by treewidth  $w$  of the input graph, then we can assume that a tree decomposition of width  $w$  is available.

## Finding tree decompositions — approximately

Sometimes we can get better dependence on treewidth using approximation.

### FPT approximation:

Theorem [Robertson and Seymour]

There is a  $O(3^{3w} \cdot w \cdot n^2)$  time algorithm that finds a tree decomposition of width  $4w + 1$ , if the treewidth of the graph is at most  $w$ .

### Polynomial-time approximation:

Theorem [Feige, Hajiaghayi, Lee 2008]

There is a polynomial-time algorithm that finds a tree decomposition of width  $O(w\sqrt{\log w})$ , if the treewidth of the graph is at most  $w$ .

# WEIGHTED MAX INDEPENDENT SET and treewidth

## Theorem

Given a tree decomposition of width  $w$ , WEIGHTED MAX INDEPENDENT SET can be solved in time  $O(2^w \cdot w^{O(1)} \cdot n)$ .

$B_x$ : vertices appearing in node  $x$ .

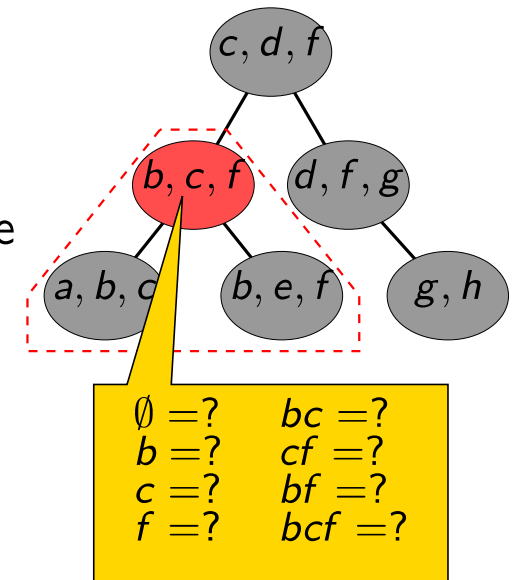
$V_x$ : vertices appearing in the subtree rooted at  $x$ .

Generalizing our solution for trees:

Instead of computing 2 values  $A[v]$ ,  $B[v]$  for each vertex of the graph, we compute  $2^{|B_x|} \leq 2^{w+1}$  values for each bag  $B_x$ .

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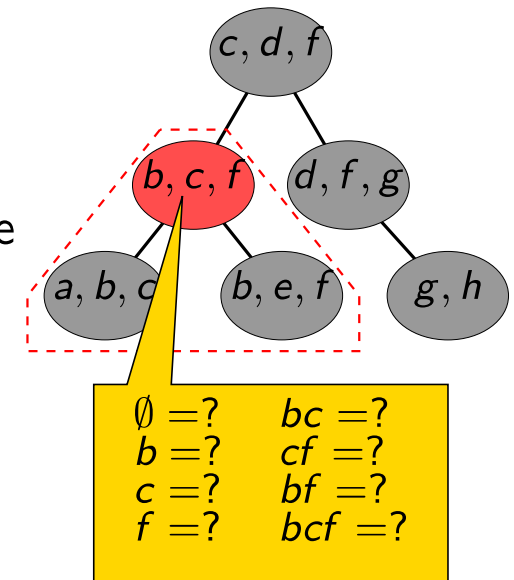
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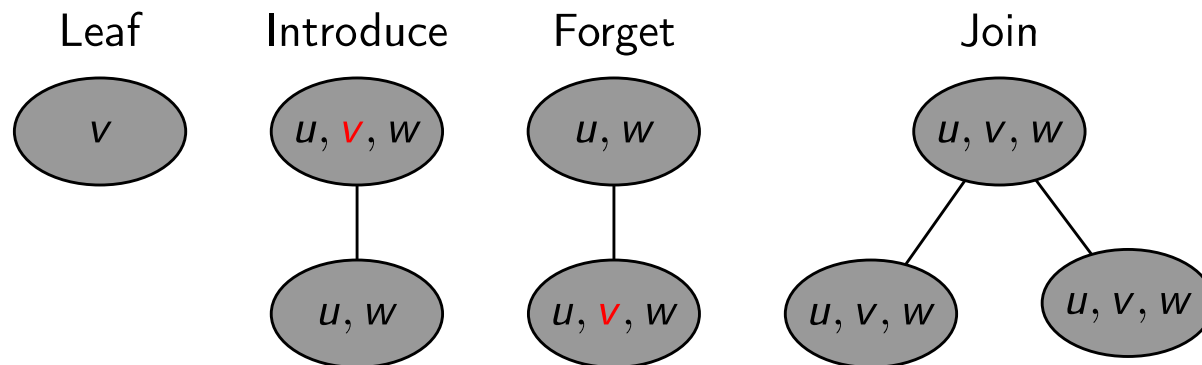


## Nice tree decompositions

### Definition

A rooted tree decomposition is **nice** if every node  $x$  is one of the following 4 types:

- **Leaf:** no children,  $|B_x| = 1$
- **Introduce:** 1 child  $y$  with  $B_x = B_y \cup \{v\}$  for some vertex  $v$
- **Forget:** 1 child  $y$  with  $B_x = B_y \setminus \{v\}$  for some vertex  $v$
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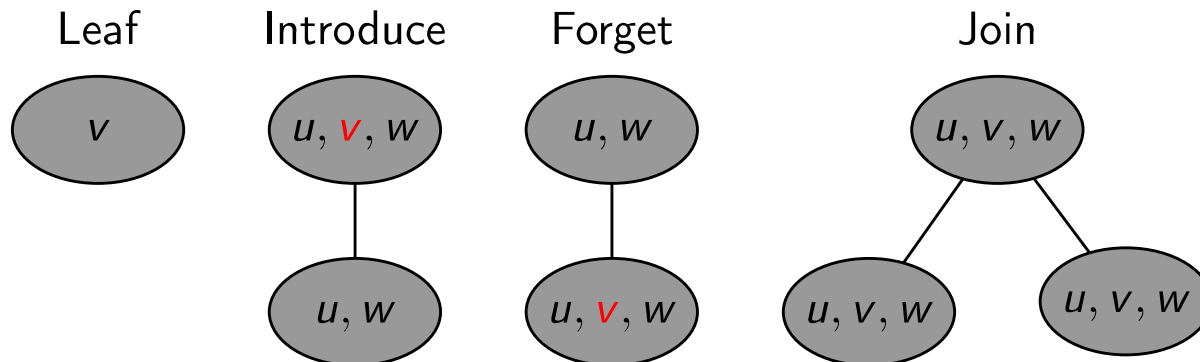
### Theorem

A tree decomposition of width  $w$  and  $n$  nodes can be turned into a nice tree decomposition of width  $w$  and  $O(wn)$  nodes in time  $O(w^2n)$ .

# WEIGHTED MAX INDEPENDENT SET and nice tree decompositions

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Trivial!
- **Introduce:** 1 child  $y$  with  $B_x = B_y \cup \{v\}$  for some vertex  $v$

$$m[x, S] = \begin{cases} M[y, S] & \text{if } v \notin S, \\ M[y, S \setminus \{v\}] + w(v) & \text{if } v \in S \text{ but } v \text{ has no} \\ & \text{neighbor in } S, \\ -\infty & \text{if } S \text{ contains } v \text{ and its neighbor.} \end{cases}$$



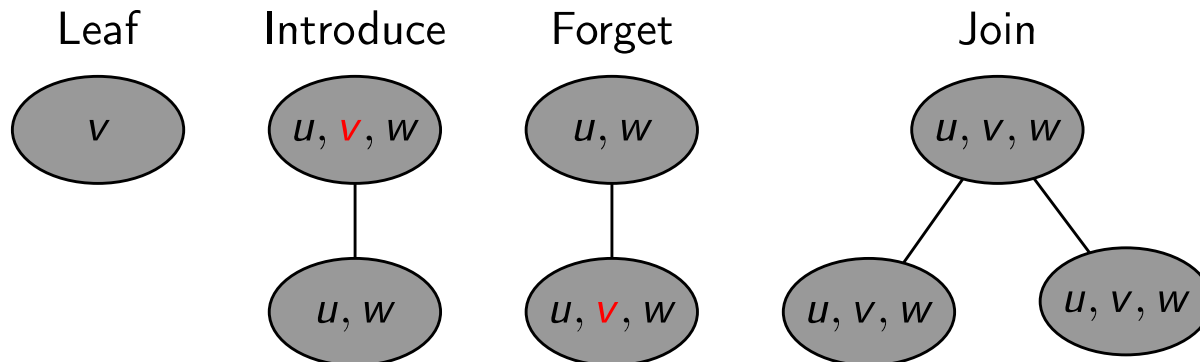
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There are at most  $2^{w+1} \cdot n$  subproblems  $m[x, S]$  and each subproblem can be solved in  $w^{O(1)}$  time  
(assuming the children are already solved).

$\Downarrow$   
Running time is  $O(2^w \cdot w^{O(1)} \cdot n)$ .

## 3-COLORING and tree decompositions

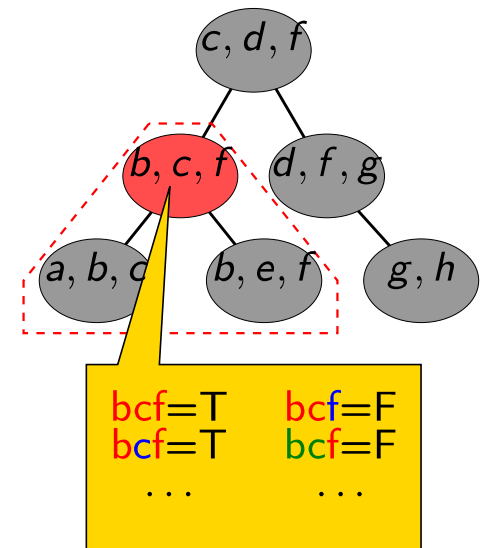
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$B_x$ : vertices appearing in node  $x$ .

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For every node  $x$  and coloring  $c : B_x \rightarrow \{1, 2, 3\}$ , we compute the Boolean value  $E[x, c]$ , which is true if and only if  $c$  can be extended to a proper 3-coloring of  $V_x$ .



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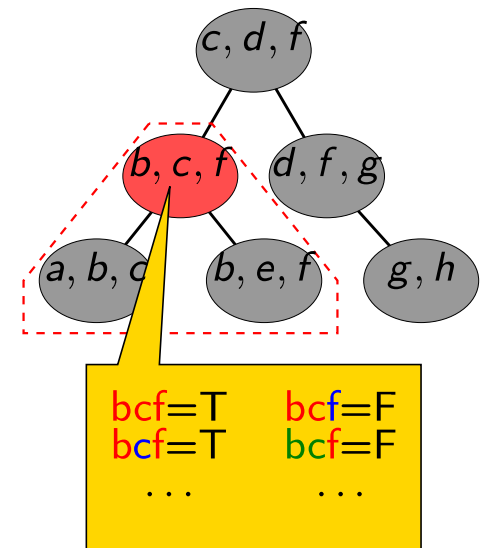
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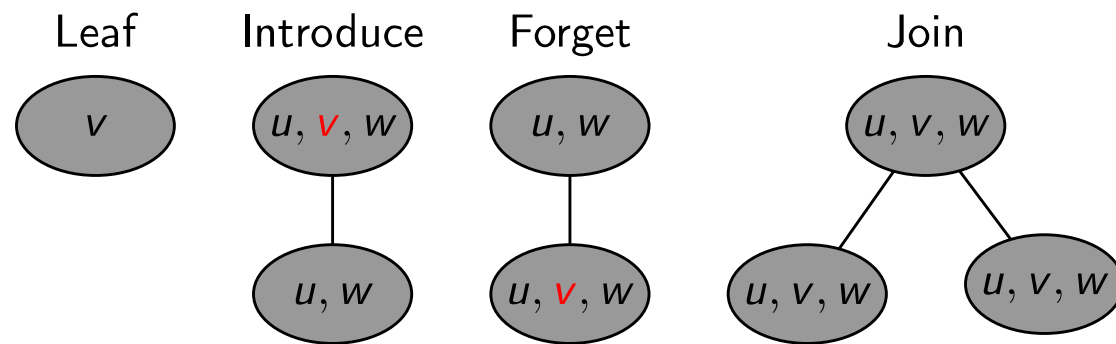
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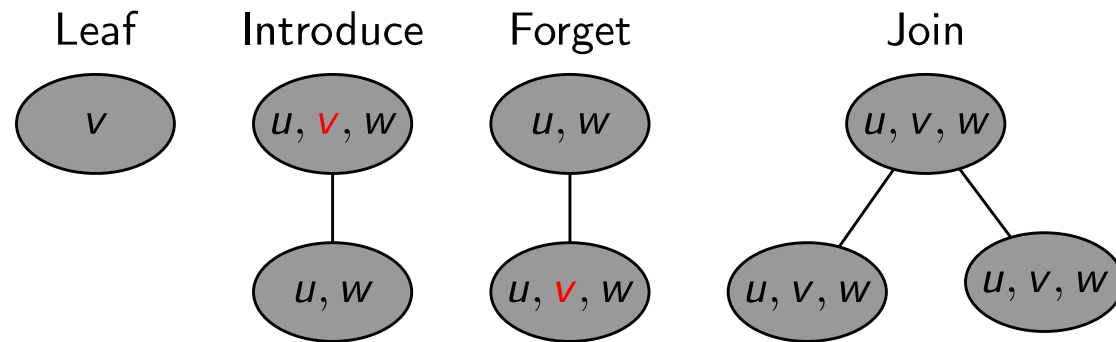
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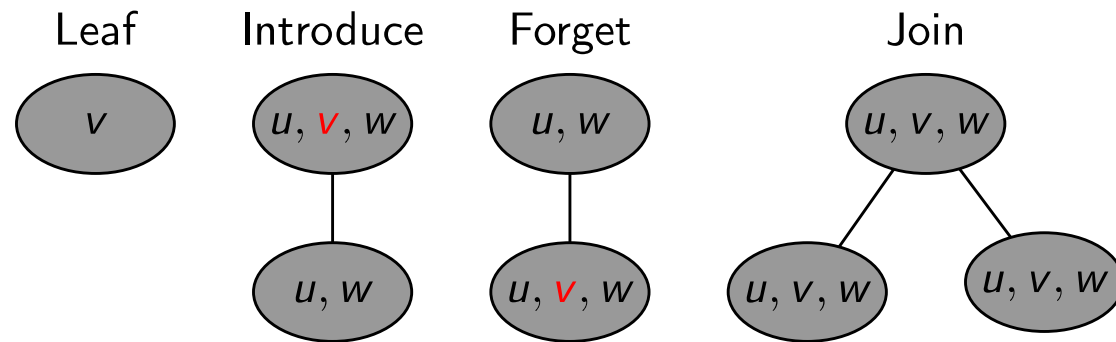
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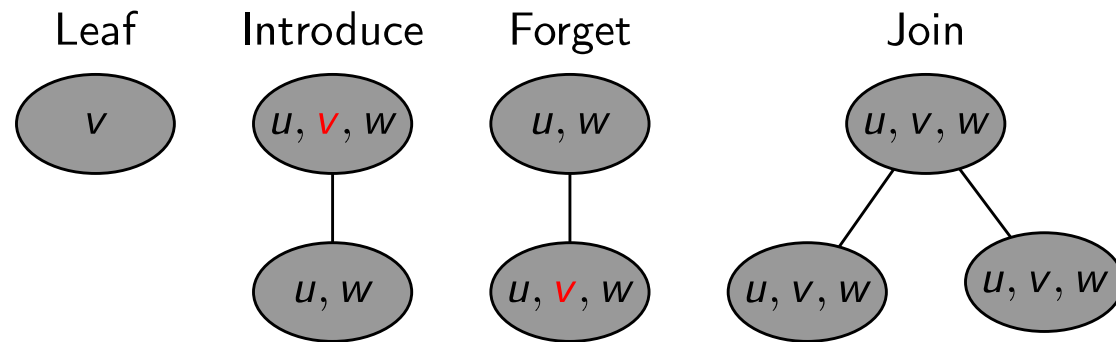
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 $E[x, c]$  is true if  $E[y, c']$  is true for one of the 3 extensions of  $c$  to  $B_y$ .
- **Join:** 2 children  $y_1, y_2$  with  $B_x = B_{y_1} = B_{y_2}$   
 $E[x, c] = E[y_1, c] \wedge E[y_2, c]$

There are at most  $3^{w+1} \cdot n$  subproblems  $E[x, c]$  and each subproblem can be solved in  $w^{O(1)}$  time (assuming the children are already solved).

$\Rightarrow$  Running time is  $O(3^w \cdot w^{O(1)} \cdot n)$ .

$\Rightarrow$  3-COLORING is FPT parameterized by treewidth.

## Vertex coloring

More generally:

### Theorem

Given a tree decomposition of width  $w$ ,  $c$ -COLORING can be solved in time  $c^w \cdot n^{O(1)}$ .

**Exercise:** Every graph of treewidth at most  $w$  can be colored with  $w + 1$  colors.

### Theorem

Given a tree decomposition of width  $w$ , VERTEX COLORING can be solved in time  $O^*(w^w)$ .

$\Rightarrow$  VERTEX COLORING is FPT parameterized by treewidth.

## DOMINATING SET and treewidth

**DOMINATING SET:** Given  $G$  and  $k$ , find a set  $S$  of  $k$  vertices such that every vertex of  $G$  is in  $S$  or has a neighbor in  $S$ .

$B_x$ : vertices appearing in node  $x$ .

$V_x$ : vertices appearing in the subtree rooted at  $x$ .

What would be the subproblems for **DOMINATING SET** at node  $x$ ?

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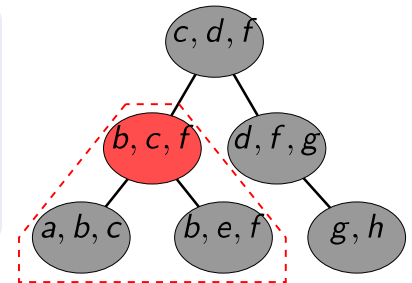
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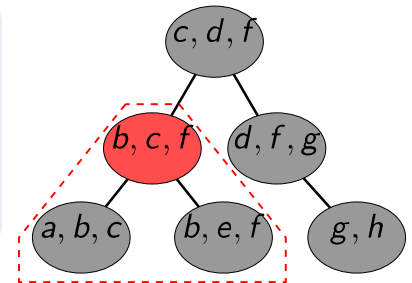
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**Problem:** vertices in  $B_x$  can be dominated by vertices outside  $V_x$ .





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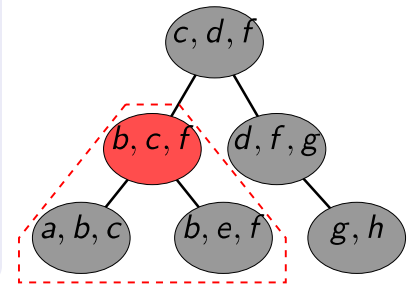
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Second try:

$M[x, S_1, S_2]$ : size of the smallest set  $D \subseteq V_x$  such that

- Every vertex in  $V_x \setminus B_x$  is dominated by  $D$ .
- $D \cap B_x = S_1$ .
- $D$  dominates every vertex of  $S_2$ .

$\Rightarrow 3^{w+1}$  subproblems at each node  $x$ .



## DOMINATING SET and treewidth

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- Fast subset convolution:  $O(3^w \cdot n)$  time.

# Monadic Second Order Logic

## Extended Monadic Second Order Logic (EMSO)

A logical language on graphs consisting of the following:

- Logical connectives  $\wedge, \vee, \rightarrow, \neg, =, \neq$
- quantifiers  $\forall, \exists$  over vertex/edge variables
- predicate  $\text{adj}(u, v)$ : vertices  $u$  and  $v$  are adjacent
- predicate  $\text{inc}(e, v)$ : edge  $e$  is incident to vertex  $v$
- quantifiers  $\forall, \exists$  over vertex/edge set variables
- $\in, \subseteq$  for vertex/edge sets

### Example:

The formula

$$\exists C \subseteq V \forall v \in C \exists u_1, u_2 \in C (u_1 \neq u_2 \wedge \text{adj}(u_1, v) \wedge \text{adj}(u_2, v))$$

is true on graph  $G$  if and only if ...

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is true on graph  $G$  if and only if  $G$  has a cycle.



# Courcelle's Theorem

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If a graph property can be expressed in EMSO, then for every fixed  $w \geq 1$ , there is a linear-time algorithm for testing this property on graphs having treewidth at most  $w$ .

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There exists an algorithm that, given a width- $w$  tree decomposition of an  $n$ -vertex graph  $G$  and an EMSO formula  $\phi$ , decides whether  $G$  satisfies  $\phi$  in time  $f(w, |\phi|) \cdot n$ .

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If we can express a property in EMSO, then we immediately get that testing this property is FPT parameterized by the treewidth  $w$  of the input graph.

**Note:** The constant depending on  $w$  can be very large (double, triple exponential etc.), therefore a direct dynamic programming algorithm can be more efficient.

## Using Courcelle's Theorem

Can we express 3-COLORING and HAMILTONIAN CYCLE in EMSO?

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### 3-COLORING

$$\exists C_1, C_2, C_3 \subseteq V \left( \forall v \in V (v \in C_1 \vee v \in C_2 \vee v \in C_3) \right) \wedge \left( \forall u, v \in V \text{adj}(u, v) \rightarrow (\neg(u \in C_1 \wedge v \in C_1) \wedge \neg(u \in C_2 \wedge v \in C_2) \wedge \neg(u \in C_3 \wedge v \in C_3)) \right)$$

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### HAMILTONIAN CYCLE

$$\exists H \subseteq E (\text{spanning}(H) \wedge (\forall v \in V \text{degree2}(H, v)))$$

$$\text{degree2}(H, v) := \exists e_1, e_2 \in H ((e_1 \neq e_2) \wedge \text{inc}(e_1, v) \wedge \text{inc}(e_2, v) \wedge (\forall e_3 \in H \text{inc}(e_3, v) \rightarrow (e_1 = e_3 \vee e_2 = e_3)))$$

$$\text{spanning}(H) := \forall Z \subseteq V (((\exists v \in V : v \in Z) \wedge (\exists v \in V : v \notin Z)) \rightarrow (\exists e \in H \exists x \in V \exists y \in V : (x \in Z) \wedge (y \notin Z) \wedge \text{inc}(e, x) \wedge \text{inc}(e, y))))$$

# SUBGRAPH ISOMORPHISM

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Find: a subgraph of  $G$  isomorphic to  $H$ .

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For each  $H$ , we can construct a formula  $\phi_H$  that expresses “ $G$  has a subgraph isomorphic to  $H$ ” (similarly to the  $k$ -cycle on the previous slide).

$\Rightarrow$  By Courcelle’s Theorem, SUBGRAPH ISOMORPHISM can be solved in time  $f(H, w) \cdot n$  if  $G$  has treewidth at most  $w$ .



# SUBGRAPH ISOMORPHISM

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Input: graphs  $H$  and  $G$

Find: a subgraph of  $G$  isomorphic to  $H$ .

Since there is only a finite number of simple graphs on  $k$  vertices, SUBGRAPH ISOMORPHISM can be solved in time  $f(k, w) \cdot n$  if  $H$  has  $k$  vertices and  $G$  has treewidth at most  $w$ .

## Theorem

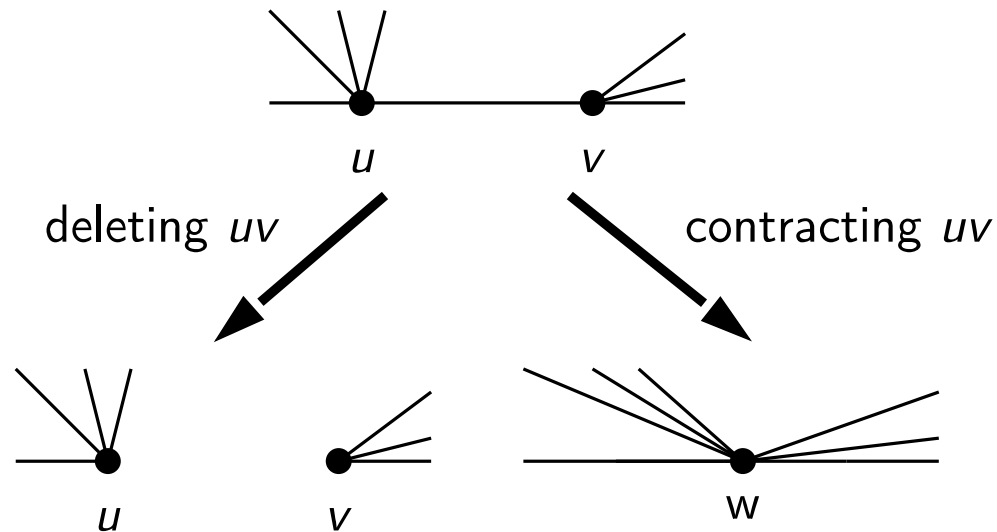
SUBGRAPH ISOMORPHISM is FPT parameterized by combined parameter  $k := |V(H)|$  and the treewidth  $w$  of  $G$ .

# Minor

An operation similar to taking subgraphs:

## Definition

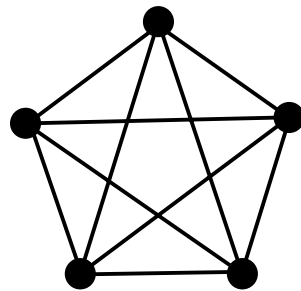
Graph  $H$  is a **minor** of  $G$  ( $H \leq G$ ) if  $H$  can be obtained from  $G$  by deleting edges, deleting vertices, and contracting edges.



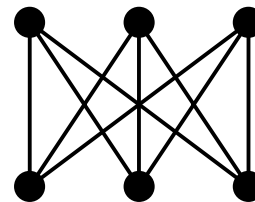
## A classical result

Theorem [Kuratowski 1930]

A graph  $G$  is planar if and only if  $G$  does not contain a subdivision of  $K_5$  or  $K_{3,3}$ .



$K_5$



$K_{3,3}$

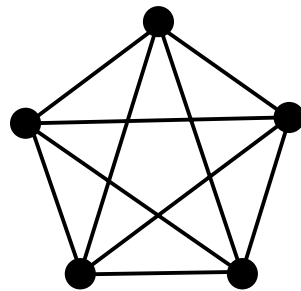
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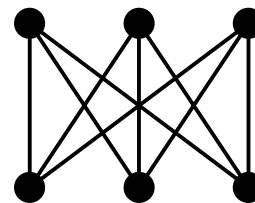
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### Theorem [Wagner 1937]

A graph  $G$  is planar if and only if  $G$  does not contain  $K_5$  or  $K_{3,3}$  as minor.



$K_5$



$K_{3,3}$

# Graph Minors Theory



Neil Robertson

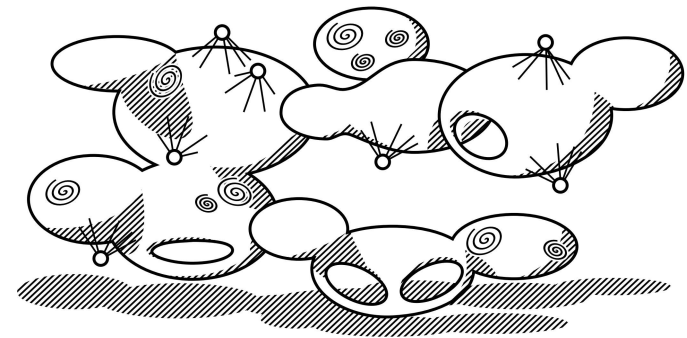


Paul Seymour

Theory of graph minors developed in the monumental series

Graph Minors I–XXIII.  
J. Combin. Theory, Ser. B  
1983–2012

- Structure theory of graphs excluding minors (and much more).
- Galactic combinatorial bounds and running times.
- Important early influence for parameterized algorithms.



## Properties of treewidth

**Fact:** Treewidth does not increase if we delete edges, delete vertices, or contract edges.

$\Rightarrow$  If  $F$  is a **minor** of  $G$ , then the treewidth of  $F$  is at most the treewidth of  $G$ .

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**Fact:** For every clique  $K$ , there is a bag  $B$  with  $K \subseteq B$ .

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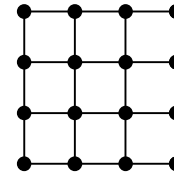
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**Fact:** For every  $k \geq 2$ , the treewidth of the  $k \times k$  grid is exactly  $k$ .

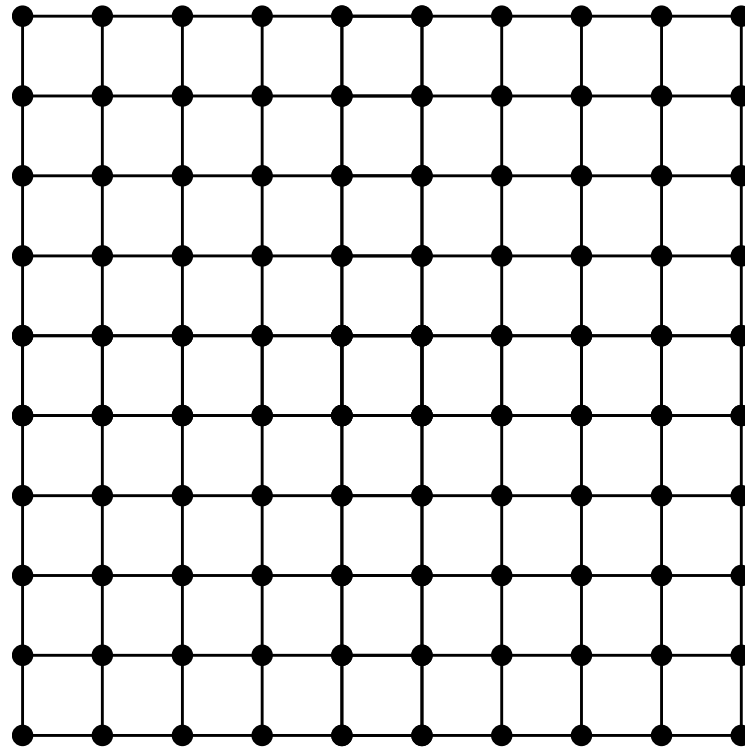




## Excluded Grid Theorem

### Excluded Grid Theorem

If the treewidth of  $G$  is  $\Omega(k^9 \log k)$ , then  $G$  has a  $k \times k$  grid minor.

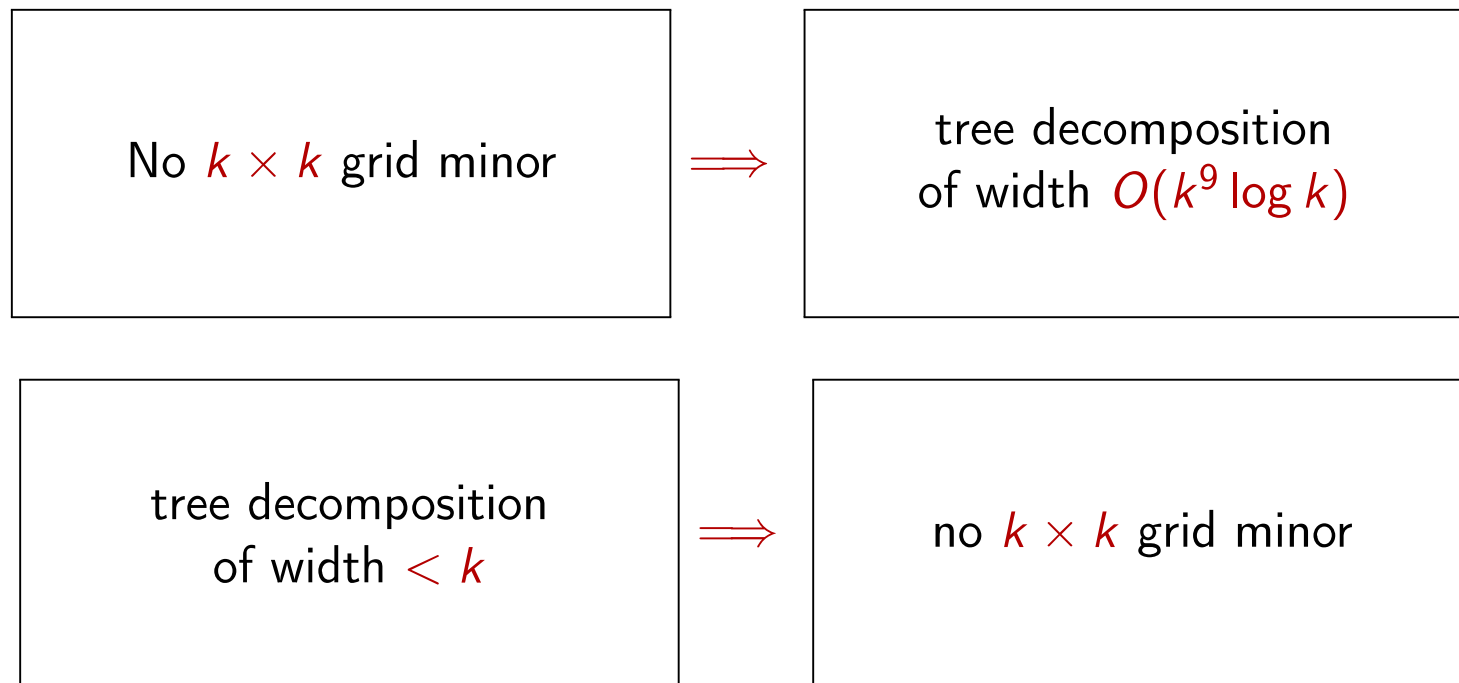


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If the treewidth of  $G$  is  $\Omega(k^9 \log k)$ , then  $G$  has a  $k \times k$  grid minor.

A large grid minor is a “witness” that treewidth is large, but the relation is approximate:



## Excluded Grid Theorem

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If the treewidth of  $G$  is  $\Omega(k^9 \log k)$ , then  $G$  has a  $k \times k$  grid minor.

**Observation:** Every planar graph is the minor of a sufficiently large grid.

### Consequence

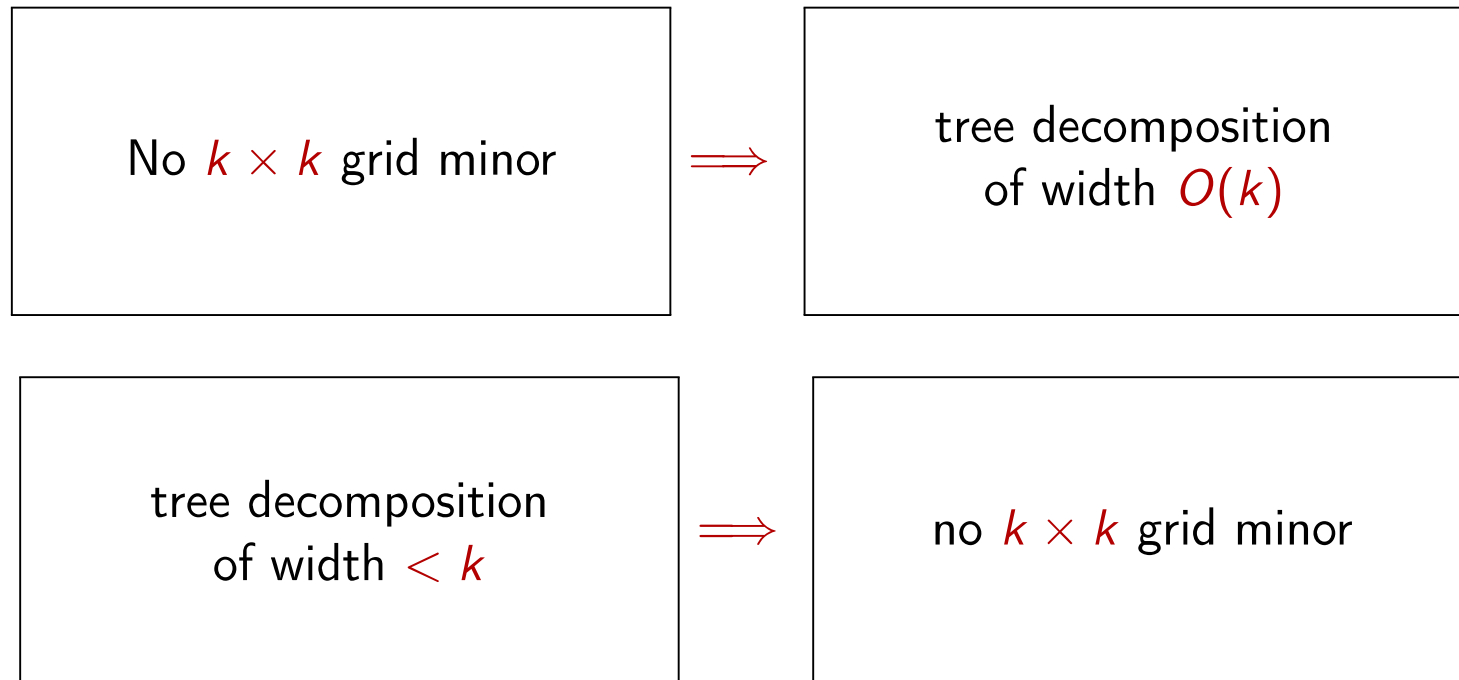
If  $H$  is planar, then every  $H$ -minor free graph has treewidth at most  $f(H)$ .

## Planar Excluded Grid Theorem

For planar graphs, we get linear instead of exponential dependence:

### Theorem

Every **planar graph** with treewidth at least  $5k$  has a  $k \times k$  grid minor.



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### Theorem

An  $n$ -vertex planar graph has treewidth  $O(\sqrt{n})$ .

# VERTEX COVER

## Theorem

VERTEX COVER can be solved in time  $2^{O(\sqrt{k})} \cdot n^{O(1)}$  in planar graphs.

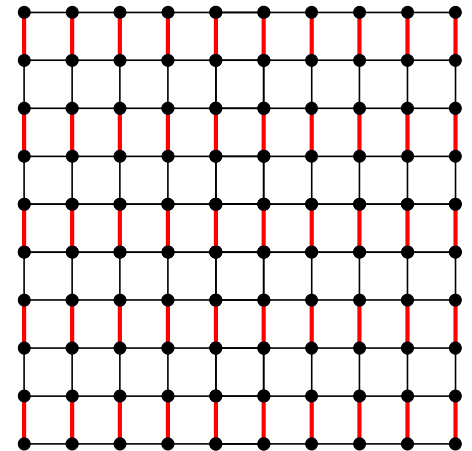
We need two facts:

- Removing an edge, removing a vertex, contracting an edge cannot increase the vertex cover number.
- VERTEX COVER can be solved in time  $2^w \cdot n^{O(1)}$  if a tree decomposition of width  $w$  is given.

## VERTEX COVER

**Observation:** If the treewidth of a planar graph  $G$  is at least  $5\sqrt{2k}$

- $\Rightarrow$  It has a  $\sqrt{2k} \times \sqrt{2k}$  grid minor (Planar Excluded Grid Theorem)
- $\Rightarrow$  The grid has a matching of size  $k$
- $\Rightarrow$  Vertex cover size is at least  $k$  in the grid.
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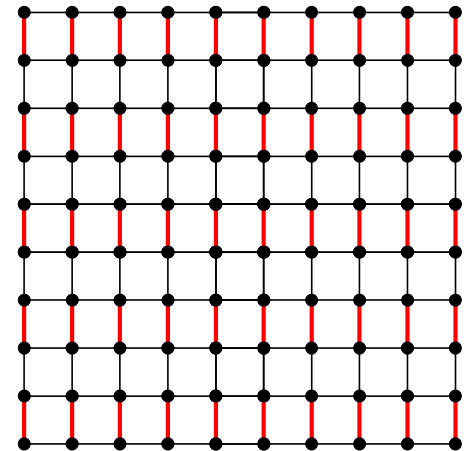
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We use this observation to solve VERTEX COVER on planar graphs:

- If treewidth is at least  $5\sqrt{2k}$ : we answer “vertex cover is  $\geq k$ .”
- If treewidth is less than  $5\sqrt{2k}$ , then we can solve the problem in time  $2^{O(5\sqrt{2k})} \cdot n^{O(1)} = 2^{O(\sqrt{k})} \cdot n^{O(1)}$ .





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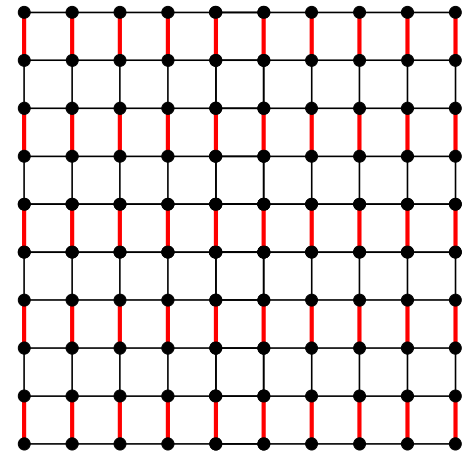
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We use this observation to solve VERTEX COVER on planar graphs:

- Set  $w := 5\sqrt{2k}$ .
- Find a 4-approximate tree decomposition.
  - If treewidth is at least  $w$ : we answer “vertex cover is  $\geq k$ .”
  - If we get a tree decomposition of width  $4w$ , then we can solve the problem in time  $2^{O(w)} \cdot n^{O(1)} = 2^{O(\sqrt{k})} \cdot n^{O(1)}$ .



## Bidimensionality

A powerful framework for efficient algorithms on planar graphs.

### Setup:

- Let  $x(G)$  be some graph invariant (i.e., an integer associated with each graph).
- Given  $G$  and  $k$ , we want to decide if  $x(G) \leq k$  (or  $x(G) \geq k$ ).
- Typical examples:
  - Maximum independent set size.
  - Minimum vertex cover size.
  - Length of the longest path.
  - Minimum dominating set size.
  - Minimum feedback vertex set size.

### Bidimensionality

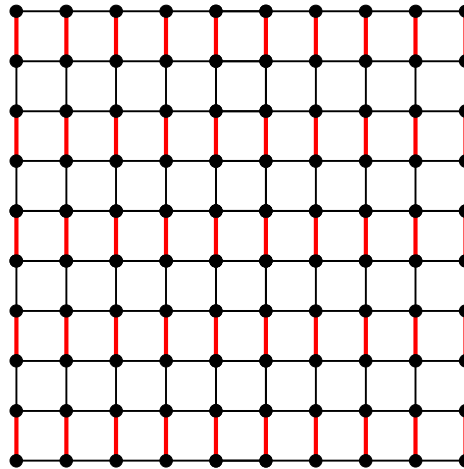
For many natural invariants, we can do this in time  $2^{O(\sqrt{k})} \cdot n^{O(1)}$  on planar graphs.

# Bidimensionality

## Definition

A graph invariant  $x(G)$  is **minor-bidimensional** if

- $x(G') \leq x(G)$  for every minor  $G'$  of  $G$ , and
- If  $G_k$  is the  $k \times k$  grid, then  $x(G_k) \geq ck^2$  (for some constant  $c > 0$ ).



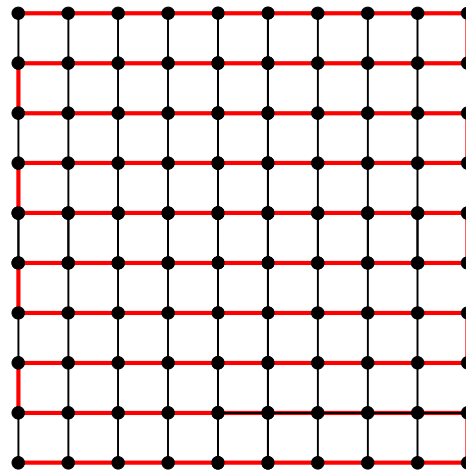
**Examples:** minimum vertex cover, length of the longest path, feedback vertex set are minor-bidimensional.

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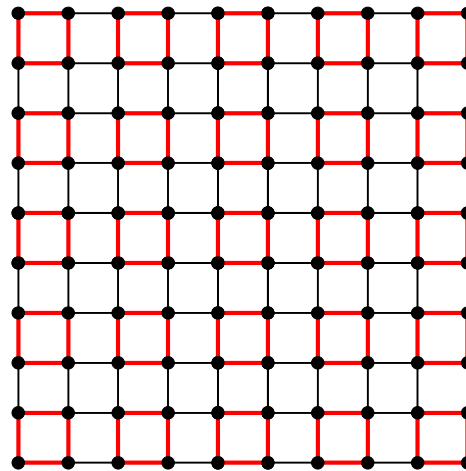
**Examples:** minimum vertex cover, **length of the longest path**, feedback vertex set are minor-bidimensional.

# Bidimensionality

## Definition

A graph invariant  $x(G)$  is **minor-bidimensional** if

- $x(G') \leq x(G)$  for every minor  $G'$  of  $G$ , and
- If  $G_k$  is the  $k \times k$  grid, then  $x(G_k) \geq ck^2$  (for some constant  $c > 0$ ).



**Examples:** minimum vertex cover, length of the longest path, **feedback vertex set** are minor-bidimensional.

## Bidimensionality (cont.)

We can answer “ $x(G) \geq k$ ?” for a minor-bidimensional invariant the following way:

- Set  $w := c\sqrt{k}$  for an appropriate constant  $c$ .
- Use the 4-approximation tree decomposition algorithm.
  - If treewidth is at least  $w$ :  $x(G)$  is at least  $k$ .
  - If we get a tree decomposition of width  $4w$ , then we can solve the problem using dynamic programming on the tree decomposition.

Running time:

- If we can solve the problem on tree decomposition of width  $w$  in time  $2^{O(w)} \cdot n^{O(1)}$ , then the running time is  $2^{O(\sqrt{k})} \cdot n^{O(1)}$ .
- If we can solve the problem on tree decomposition of width  $w$  in time  $w^{O(w)} \cdot n^{O(1)}$ , then the running time is  $2^{O(\sqrt{k} \log k)} \cdot n^{O(1)}$ .

## Contraction bidimensionality

### Definition

A graph invariant  $x(G)$  is **minor-bidimensional** if

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**Exercise:** DOMINATING SET is **not** minor-bidimensional.

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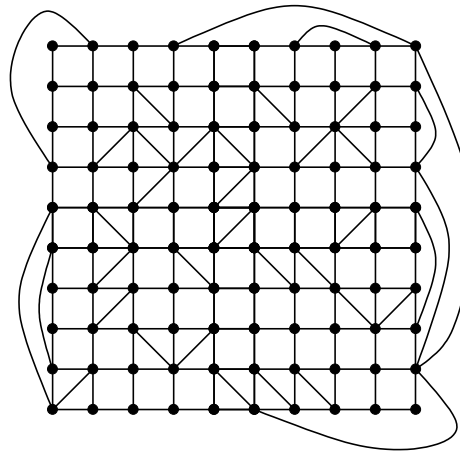
We fix the problem by allowing only contractions but not edge/vertex deletions.



## Contraction bidimensionality

### Theorem

Every **planar graph** with treewidth at least  $5k$  can be contracted to a **partially triangulated**  $k \times k$  grid.

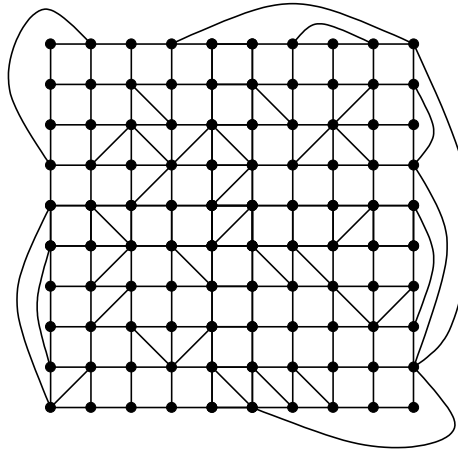


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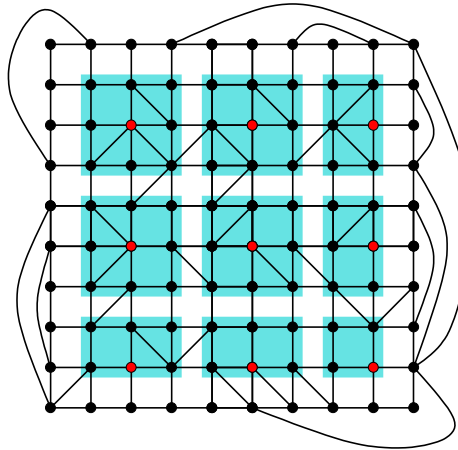


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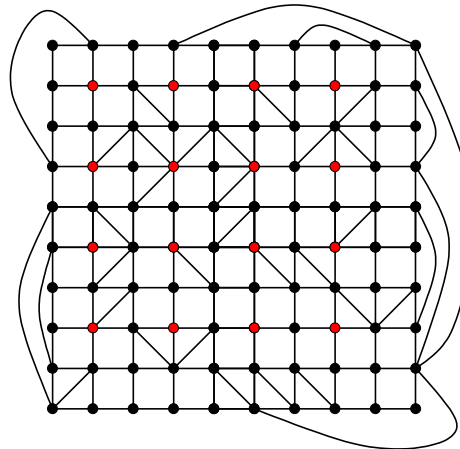
**Example:** **minimum dominating set**, maximum independent set are contraction-bidimensional.

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**Example:** minimum dominating set, **maximum independent set** are contraction-bidimensional.

## Bidimensionality for DOMINATING SET

The size of a minimum dominating set is a **contraction bidimensional** invariant: we need at least  $(k - 2)^2/9$  vertices to dominate all the internal vertices of a partially triangulated  $k \times k$  grid (since a vertex can dominate at most 9 internal vertices).

### Theorem

Given a tree decomposition of width  $w$ , DOMINATING SET can be solved in time  $3^w \cdot w^{O(1)} \cdot n^{O(1)}$ .

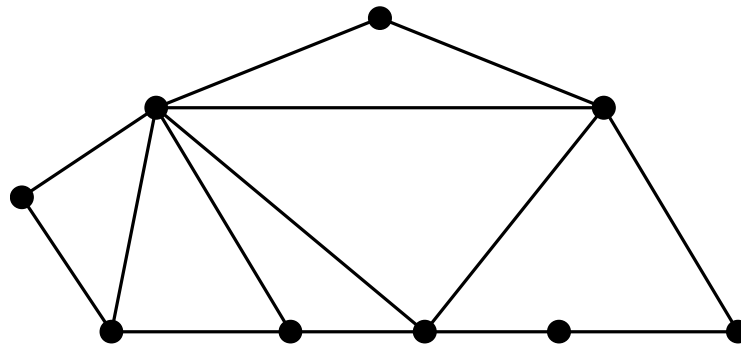
Solving DOMINATING SET on planar graphs:

- Set  $w := 5(3\sqrt{k} + 2)$ .
- Use the 4-approximation tree decomposition algorithm.
  - If treewidth is at least  $w$ : we answer 'dominating set is  $\geq k$ '.
  - If we get a tree decomposition of width  $4w$ , then we can solve the problem in time  $3^w \cdot n^{O(1)} = 2^{O(\sqrt{k})} \cdot n^{O(1)}$ .

## Outerplanar graphs

### Definition

A planar graph is **outerplanar** if it has a planar embedding where every vertex is on the infinite face.



### Fact

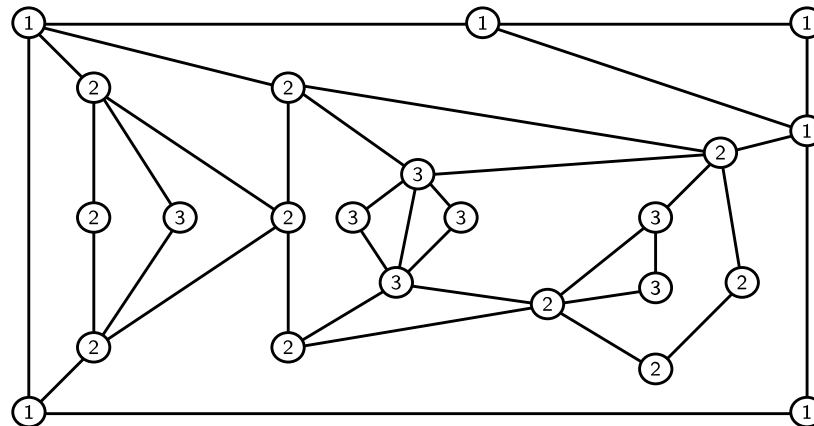
Every outerplanar graph has treewidth at most 2.

## $k$ -outerplanar graphs

Given a planar embedding, we can define **layers** by iteratively removing the vertices on the infinite face.

### Definition

A planar graph is  **$k$ -outerplanar** if it has a planar embedding having at most  $k$  layers.



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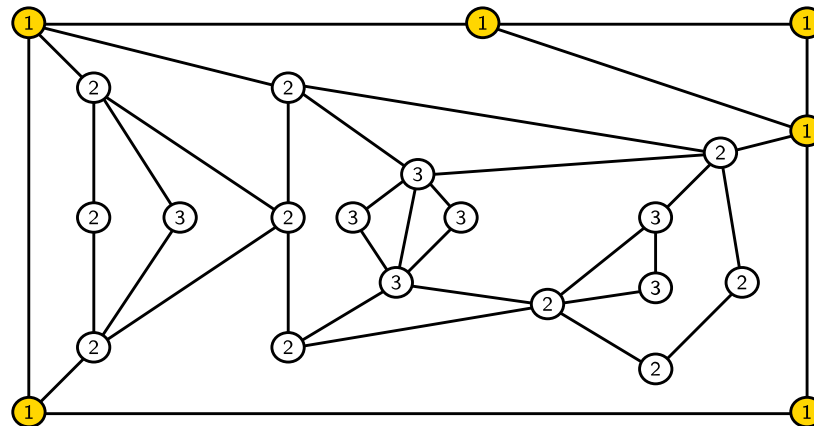
Every  $k$ -outerplanar graph has treewidth at most  $3k + 1$ .

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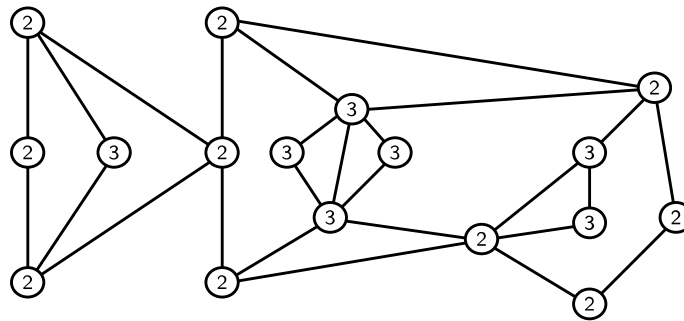


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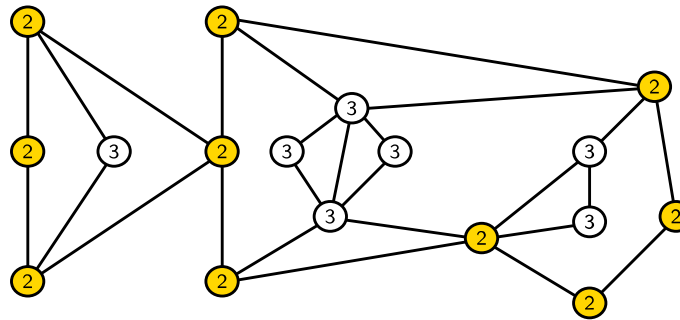
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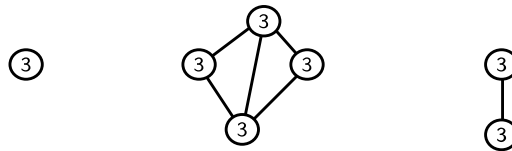
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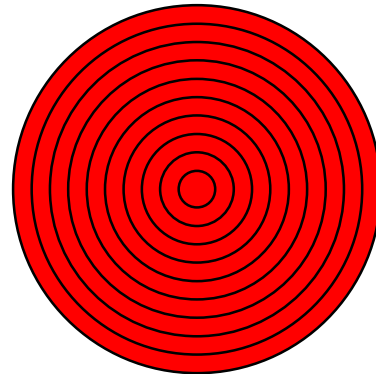
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## Baker's shifting strategy for FPT

### SUBGRAPH ISOMORPHISM

Input: graphs  $H$  and  $G$

Find: a subgraph  $G$  isomorphic to  $H$ .

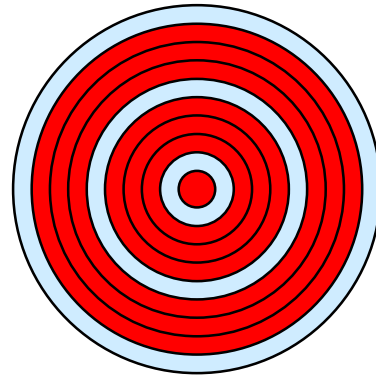


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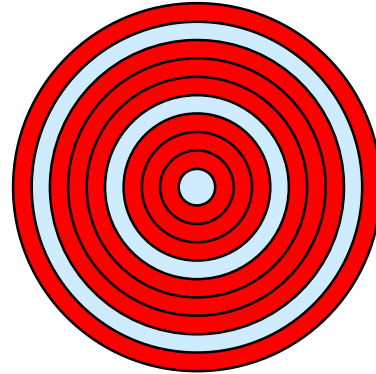
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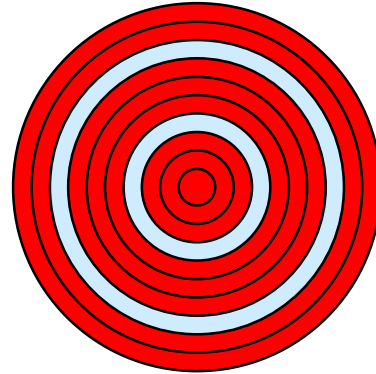
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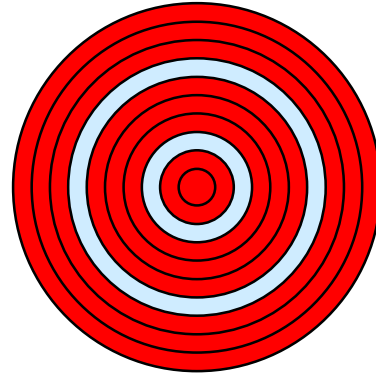
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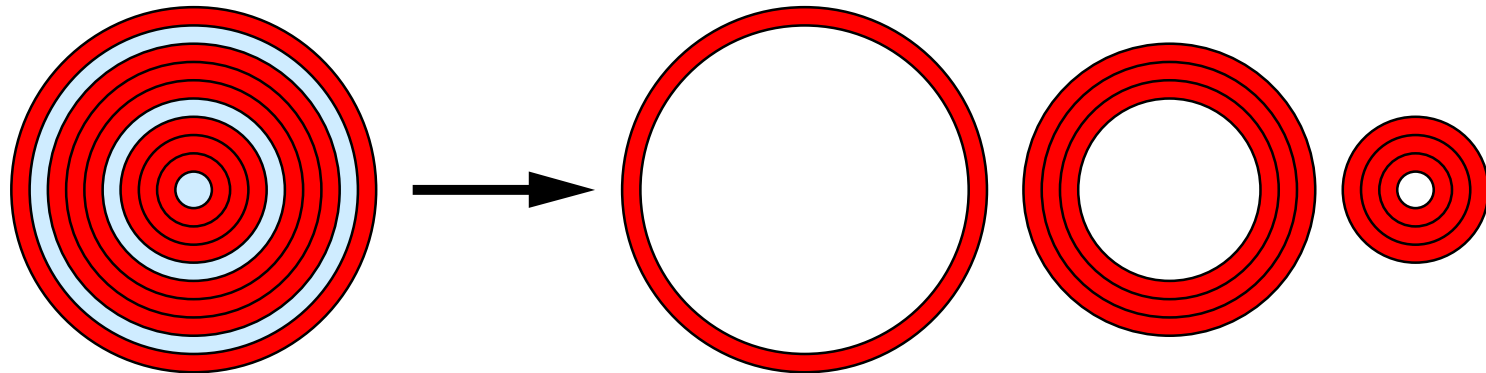


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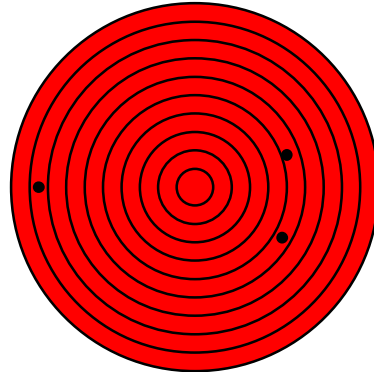
- For a fixed  $0 \leq s < k + 1$ , delete every layer  $L_i$  with  $i = s \pmod{k + 1}$
- The resulting graph is  $k$ -outerplanar, hence it has treewidth at most  $3k + 1$ .
- Using the  $f(k, tw) \cdot n$  time algorithm for SUBGRAPH ISOMORPHISM, the problem can be solved in time  $f(k, 3k + 1) \cdot n$ .

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We do this for every  $0 \leq s < k + 1$ :  
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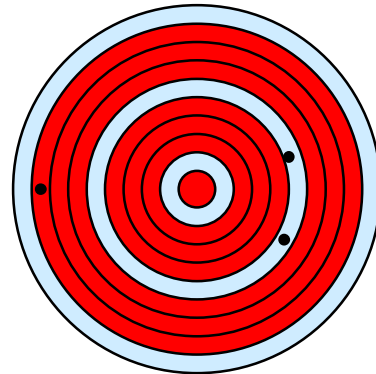
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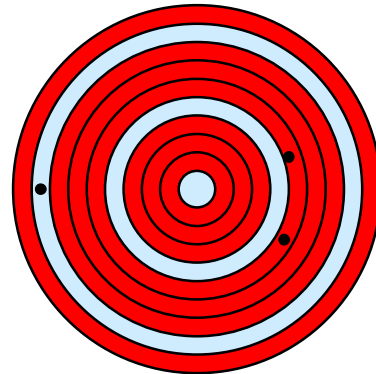


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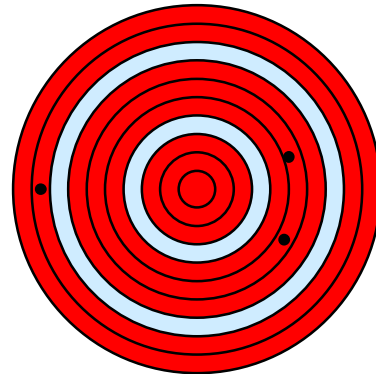
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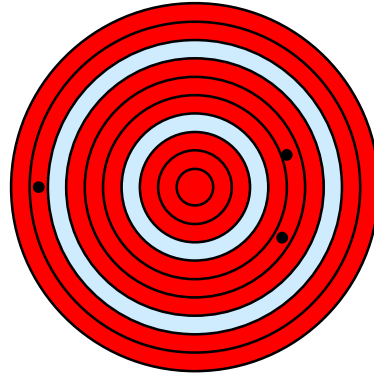


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### Theorem

SUBGRAPH ISOMORPHISM for planar graphs is FPT parameterized by  $k := |V(H)|$ .